

Administrator of the  
Old Mutual Bermuda Trust  
25 Church Street, Hamilton HM NX, Bermuda  
Tel: (441) 294-4600 Email: contact@ombermuda.com  
Fax: (441) 295-8407 Website: www.ombermuda.com

# Universal Investment Plan <sup>SM</sup>

## Surrender or Withdrawal

**CUSTOMERS:** Please be sure to complete all sections. If you need assistance, please contact your Advisor or the Trust Administrator.

**ADVISORS:** Please fax this completed form to the Trust Administrator at 441-295-8407.



**FOR THOSE PLANS THAT DO NOT HAVE AN EXISTING UNIVERSAL GUARANTEE.  
PLEASE GIVE THIS FORM TO YOUR ADVISOR.**

### 1. CUSTOMER INFORMATION

Plan Number \_\_\_\_\_

Name of Settlor \_\_\_\_\_

Date of Birth \_\_\_\_\_

Passport Number \_\_\_\_\_

Name of Co-Settlor (if applicable) \_\_\_\_\_

Date of Birth \_\_\_\_\_

Passport Number \_\_\_\_\_

### 2. INSTRUCTIONS (COMPLETE ITEMS 1 OR 2)

With respect to the above referenced Plan, I wish to:

- Obtain the **entire** withdrawal value which will be accepted, irrevocably, in full payment of all claims under the Plan.  
 The Plan is submitted herewith, **or**  
 I certify that the original Plan, any duplicates, or riders have been lost or destroyed.
- Please withdraw \$ \_\_\_\_\_ from my Plan. I realize that the amount that I actually receive may be less than this amount as any applicable withdrawal charges and/or interest rate adjustments will be deducted from the amount withdrawn.

**Withdraw from the following (choose either A or B):**

- All Investment Funds proportionally - the withdrawal will be taken proportionally from all Investment Funds and Fixed Rate Accounts, respectively, based on their respective valuation date, **or**
- Investment Funds in the percentages indicated below (this option is not available if you are enrolled in the Universal Guarantee Option).

### INVESTMENT FUNDS

#### ALLIANCE BERNSTEIN

- \_\_\_\_\_% American Income Portfolio (387)
- \_\_\_\_\_% European Value Portfolio (455)
- \_\_\_\_\_% Global Balanced Portfolio (458)
- \_\_\_\_\_% Global Conservative Portfolio (459)
- \_\_\_\_\_% Global Equity Blend Portfolio (457)
- \_\_\_\_\_% Global Growth Trends Portfolio (356)
- \_\_\_\_\_% International Health Care Portfolio (388)
- \_\_\_\_\_% International Technology Portfolio (357)
- \_\_\_\_\_% US High Yield Portfolio (358)

#### FRANKLIN TEMPLETON

- \_\_\_\_\_% Asian Growth Fund (471)
- \_\_\_\_\_% Eastern Europe Fund (329)
- \_\_\_\_\_% Emerging Markets Fund (320)
- \_\_\_\_\_% Floating Rate Fund (478)
- \_\_\_\_\_% Global Bond Fund (448)
- \_\_\_\_\_% Global Fund (322)
- \_\_\_\_\_% Global Real Estate Fund (479)
- \_\_\_\_\_% Global Smaller Companies Fund (318)
- \_\_\_\_\_% Korea Fund (472)
- \_\_\_\_\_% Mutual Beacon Fund (323)
- \_\_\_\_\_% US Ultra Short Bond Fund (330)

#### HSBC

- \_\_\_\_\_% Adventurous Portfolio (421)
- \_\_\_\_\_% Balanced Portfolio (416)
- \_\_\_\_\_% Cautious Portfolio (415)
- \_\_\_\_\_% Indian Equity Fund (422)

#### JPMORGAN

- \_\_\_\_\_% Europe Equity Fund (315)
- \_\_\_\_\_% JF Greater China Fund (334)
- \_\_\_\_\_% JF Japan Equity Fund (309)
- \_\_\_\_\_% JF Pacific Equity Fund (310)
- \_\_\_\_\_% Latin America Equity Fund (317)

#### MELLON

- \_\_\_\_\_% Asian Equity Portfolio (360)
- \_\_\_\_\_% Continental European Equity Portfolio (362)
- \_\_\_\_\_% Global Bond Portfolio (363)
- \_\_\_\_\_% S&P 500® Index Tracker (364)

#### MERRILL LYNCH

- \_\_\_\_\_% Global Allocation Fund (269)
- \_\_\_\_\_% Global Opportunities Fund (260)
- \_\_\_\_\_% Latin American Fund (261)
- \_\_\_\_\_% US Basic Value Fund (262)
- \_\_\_\_\_% US Flexible Equity Fund (263)

Continued on the next page

**INVESTMENT FUNDS - continued**

- MERRILL LYNCH**
- \_\_\_\_% US SmallCap Value Fund (264)
- \_\_\_\_% World Energy Fund (265)
- \_\_\_\_% World Financials Fund (266)
- \_\_\_\_% World Gold Fund (268)
- \_\_\_\_% World Mining Fund (267)
- MFS**
- \_\_\_\_% Emerging Markets Debt Fund (513)
- \_\_\_\_% European Equity Fund (514)
- \_\_\_\_% European Smaller Companies Fund (337)
- \_\_\_\_% Global Growth Fund (501)
- \_\_\_\_% Research International Fund (516)
- \_\_\_\_% US Emerging Growth Fund (502)
- \_\_\_\_% US Government Bond Fund (509)
- \_\_\_\_% US High Yield Fund (510)
- MORGAN STANLEY**
- \_\_\_\_% SICAV Asian Property Fund (665)
- \_\_\_\_% SICAV Global Brands Fund (445)
- \_\_\_\_% SICAV US Equity Growth Fund (443)
- \_\_\_\_% SICAV US Property Fund (444)
- PERMAL**
- \_\_\_\_% Asian Holdings N.V. (932)
- \_\_\_\_% Emerging Markets Holdings N.V. (931)
- \_\_\_\_% European Holdings N.V. (933)
- \_\_\_\_% Fixed Income Special Opportunities Ltd. (937)
- \_\_\_\_% FX, Financials & Futures Ltd. (904)
- \_\_\_\_% Global Opportunities Ltd. (938)
- \_\_\_\_% India Holdings Ltd. (936)
- \_\_\_\_% Investment Holdings N.V. (903)
- \_\_\_\_% Japan Holdings N.V. (934)
- \_\_\_\_% MMF (LUX) Natural Resource Fund (935)
- \_\_\_\_% SAF 2.5 Fund (930)
- SCHRODER**
- \_\_\_\_% Aggressive Growth Portfolio Multi-Manager (523)
- \_\_\_\_% Balanced Portfolio Multi-Manager (521)
- \_\_\_\_% Growth Portfolio Multi-Manager (522)
- \_\_\_\_% Income & Growth Portfolio Multi-Manager (520)
- SCHRODER**
- \_\_\_\_% Asian Bond (475)
- \_\_\_\_% BRIC Fund (635)
- \_\_\_\_% EURO Bond (474)
- \_\_\_\_% SAS Commodity Fund (636)

- \_\_\_\_% US Small & Mid Cap Equity Fund (473)
- SKANDIA**
- \_\_\_\_% Pacific Equity Fund (498)
- \_\_\_\_% Technology Fund (951)
- \_\_\_\_% Total Return USD Bond Fund (499)
- \_\_\_\_% US Capital Growth Fund (489)
- S&P**
- \_\_\_\_% Global Asset Allocation Aggressive (063)
- \_\_\_\_% Global Asset Allocation Conservative (061)
- \_\_\_\_% Global Asset Allocation Moderate (062)
- TRIALPHA**
- \_\_\_\_% Equity Alpha Fund (400)
- \_\_\_\_% Growth Strategy Fund (901)
- \_\_\_\_% Hedge Equity Fund (990)
- \_\_\_\_% Multi Strategy Fund (902)
- OTHER FUNDS (INCLUDE 3-DIGIT #)**
- \_\_\_\_% \_\_\_\_\_
- \_\_\_\_% \_\_\_\_\_
- \_\_\_\_% \_\_\_\_\_
- \_\_\_\_% \_\_\_\_\_

- MONEY MARKET**
- \_\_\_\_% Money Market Fund (092)

- FIXED RATE ACCOUNTS\***
- Check this box if you wish this withdrawal to be processed on the expiration date of the current Guarantee Period. The expiration date must be within 30 days from the date of this request. Otherwise, the withdrawal will be processed immediately.
- \_\_\_\_% 1 Year (101)                      \_\_\_\_% 5 Years (105)
- \_\_\_\_% 2 Years (102)                    \_\_\_\_% 7 Years (107)
- \_\_\_\_% 3 Years (103)                      \_\_\_\_% 10 Years (110)

\* Withdrawals from a Fixed Rate Account are generally subject to an interest rate adjustment, which may be positive or negative.

### 3. PAYMENT

Wire Transfer of Funds (complete information below and attach a voided check or personalized deposit slip). A \$15.00 bank wire fee charge will be deducted from the amount withdrawn.

Bank Name	Bank Address
Bank ABA Number/Swift Number	Bank Account Name
Account Number	Bank Contact                      Telephone Number

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## Surrender or Withdrawal

### 4. SIGNATURES (required)

If you are invested in any funds that do not have daily liquidity (i.e. hedge funds), your withdrawal or surrender may be processed in two steps:

- 1) liquidation of the daily funds and remit payment to you based on their values;
- 2) hold the non-daily fund amounts until their liquidity date and remit payment to you.

A \$15.00 wire fee will apply to each transaction. Check with your advisor for further details.

\* \* \*

The withdrawal indicated above is hereby authorized. If there is a Co-Settlor, both signatures are required.

_____ Signature of Settlor	_____ Date	_____ Signature of Irrevocable Successor-in-Interest (if any)	_____ Date
_____ Signature of Co-Settlor (if any)	_____ Date	_____ Signature of Assignee (if any)	_____ Date

**NOTE: If signing on behalf of a corporation or trust, you must provide a letter from an officer of the corporation or the trustee certifying that you are authorized to request this withdrawal.**

### 5. ADVISOR INFORMATION (required)

I, the advisor of record, by signing below, confirm that the Settlor is aware that this withdrawal/surrender may be subject to withdrawal charges and/or interest rate adjustments. I also confirm that this withdrawal/surrender is being undertaken in accordance with the Trust's and Old Mutual (Bermuda) Ltd.'s Anti-Money Laundering Policy, and to the best of my knowledge, does not involve any suspicious activities.

The reason for this withdrawal/surrender is: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
Distributor Name (please print)

\_\_\_\_\_  
Advisor Name (please print)

\_\_\_\_\_  
Telephone

\_\_\_\_\_  
Fax

\_\_\_\_\_  
Advisor Signature

\_\_\_\_\_  
Email Address

\_\_\_\_\_  
Date